

30. Nucleophilic Addition to C,C Double Bonds. Proximity and Homoconjugative Effects

Preliminary communication¹⁾

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Summary

Proximity effects alone as well as in combination with electronic effects are responsible for the observed phenomenon of base-catalyzed ether formation initiated by nucleophilic attack on a C,C double bond of the tricyclic olefin alcohols **1-10** (*Scheme 1, Table 1*).

With compounds **1-4**, bearing a keto group, formation of the ethers **11-14** proceeds through a corresponding homoenolate **b** (*Scheme 2*) as an intermediate. In one case such a species could be trapped as the methyl ether **21** (*Scheme 3*).

Special attention is given to the stereochemical course of the homoketonization. Ring opening in **21** under acidic conditions occurs regioselectively, however non-stereoselectively (*Scheme 3*). Full regio- and stereoselectivity (retention) is observed under basic conditions starting from the unsaturated keto alcohols **1** and **2** (*Scheme 4*) as well as from the keto ethers **11** and **12** (*Scheme 5, Table 2*).

We recently observed base-catalyzed ether formation with polycyclic olefin alcohols [1]. As part of our systematic investigation of this phenomenon²⁾ we studied the behaviour of the alcohols **1-10**³⁾ (see *Scheme 1* and *Table 1*). The results allow us to distinguish two main driving forces: a proximity effect and an electronic effect.

Steric compression is the sole responsible cause for the observed unusual nucleophilic attack on the isolated C,C double bond in **7** (\rightarrow **17**), **8** (\rightarrow **18**) and **10** (\rightarrow **20**). A methyl group at the carbon atom bearing the hydroxyl group enhances the reactivity (compare **2** vs. **1**, **4** vs. **3**, **6** vs. **5**, **8** vs. **7**, **10** vs. **9**), whereas ring enlargement ($X = \text{CH}_2\text{-CH}_2\text{-CH}_2$) lowers it (compare **9** vs. **7**, **10** vs. **8**).

- ¹⁾ These results were first presented at the 2nd IUPAC Symposium on Organic Synthesis in Jerusalem/Haifa, September 10-17, 1978 and at the meeting of the Swiss Chemical Society (Schweizerische Chemische Gesellschaft) on October 21, 1978, in Berne.
- ²⁾ The reaction implies nucleophilic attack by the primarily formed alkoxide ion on a C,C double bond bearing no electron-attracting group.
- ³⁾ All new compounds were isolated and their analytical and spectral data are in full agreement with the assigned structures; for **1** and **11** see [2].

Table 1. Base-catalyzed ether formation starting from olefin alcohols 1-10

Transformation ³⁾	Reaction conditions			Yield
	Base ^{a)}	Temp. °C	Time h	
1 → 11	A	25	90 ^{b)}	quant.
	A	50	8 ^{b)}	quant.
	C	25	0.4 ^{b)}	quant.
2 → 12	A	0	2.0 ^{b)}	quant.
	A	25	0.12 ^{b)}	quant.
	B	25	1.2 ^{b)}	quant.
3 → 13	A	25	340	60% ^{c)}
	A	50	50 ^{b)}	quant.
4 → 14	A	25	2.5 ^{b)}	quant.
5 → 15	A	25	24	-
	A	60	140	60% ^{d)}
6 → 16	A	25	15 ^{b)}	quant.
7 → 17	A	100	21	-
	C	25	18	-
	C	82	24	50% ^{e)}
8 → 18	A	25	340	70% ^{f)}
	A	50	190 ^{b)}	quant.
	C	25	24 ^{b)}	quant.
9 → 19 ^{g)}	A	80	14	-
	C	25	24	-
	C	50	80	- ^{h)}
10 → 20	A	80	120	5% ⁱ⁾
	C	25	96	13% ^{j)}

^{a)} A: 2N aq. NaOH/CH₃OH 1:1; B: 0.2N aq. NaOH/CH₃OH 1:1; C: 1 molal *t*-BuOK/*t*-BuOH;

^{b)} Determined by TLC; ^{c)} In addition to 30% starting material; ^{d)} In addition to 10% starting material;

^{e)} In addition to 30% of the ketone resulting from oxidation of alcohol 7; ^{f)} In addition to 30% starting

material (determined by ¹H-NMR.); ^{g)} 19 can easily be prepared from 9 by hydroxymercuration

followed by NaBH₄-reduction; ^{h)} In addition to 60% starting material the sole isolated compound (30%) is the ketone resulting from oxidation of alcohol 9; ⁱ⁾ In addition to 90% starting material;

^{j)} In addition to 75% starting material.

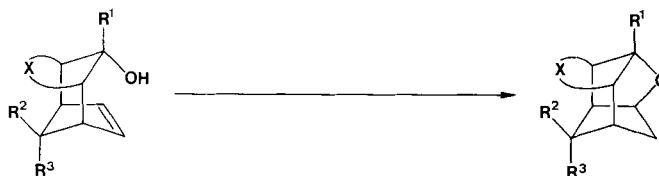
An electronic effect, in addition to the above mentioned proximity effect, plays an important role in compounds 1-4 containing a keto group (R², R³=O). The rate of the base-catalyzed cyclization to the ethers 11-14 is much enhanced by way of homoconjugative stabilization (**b**¹ ↔ **b**²) of the intermediate carbanion (*Scheme 2*). 11-14 are finally formed by homoketonization of the corresponding homoenolate **b** (= **b**¹ ↔ **b**²)⁴⁾.

In the case of compound 2 such a homoenolate **b** could be trapped with dimethyl sulfate: the homoenol methyl ether 21 was isolated in 56% yield. In addition, ether 22 (32%) was obtained, the product from direct methylation of the initial alkoxide ion (*Scheme 3*).

We then turned our attention to the stereochemical course of the homoketonization under acidic (21 → 12) and in view of the base-catalyzed ether formation

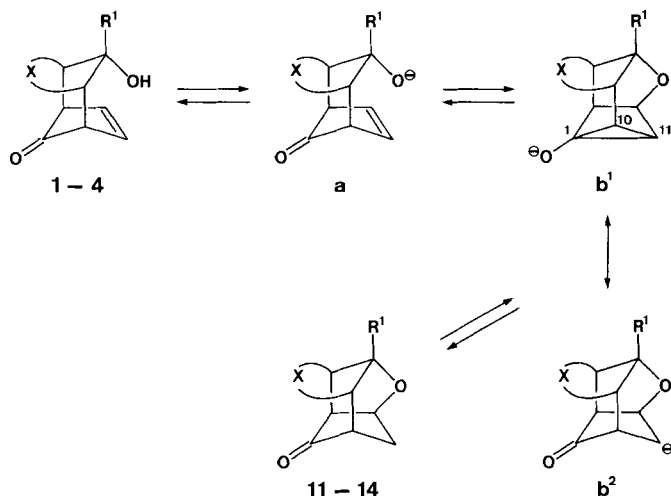
⁴⁾ The phenomenon of homoenolization has attracted considerable interest in recent years. A first report appeared back in 1962 by Nickon & Lambert [3], many further examples were studied since. For representative reviews and some of the most recent communications see [4] and references cited therein.

Scheme 1



	R ¹	R ²	R ³	X	
1	H		O	CH=CH	11
2	CH ₃		O	CH=CH	12
3	H		O	CH ₂ -CH ₂	13
4	CH ₃		O	CH ₂ -CH ₂	14
5	H	OCH ₃	H	CH=CH	15
6	CH ₃	OCH ₃	H	CH=CH	16
7	H	H	H	CH ₂ -CH ₂	17
8	CH ₃	H	H	CH ₂ -CH ₂	18
9	H	H	H	CH ₂ -CH ₂ -CH ₂	19
10	CH ₃	H	H	CH ₂ -CH ₂ -CH ₂	20

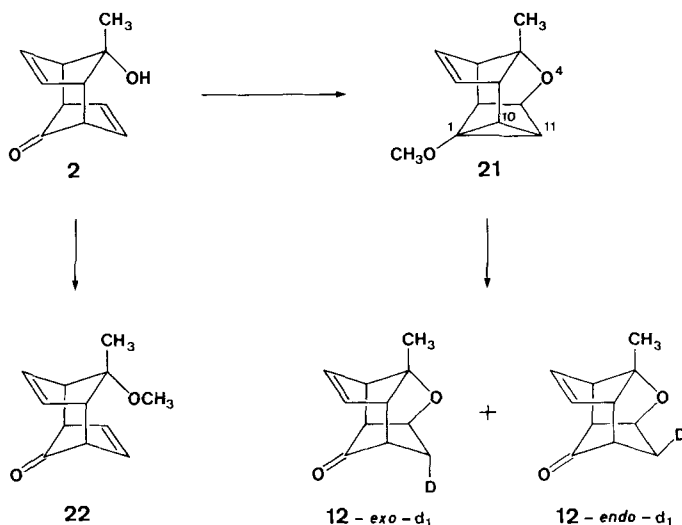
Scheme 2



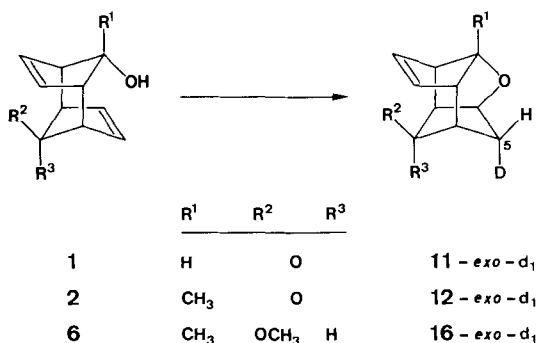
especially under basic conditions (**b** → **11-14**). In each case there are *a priori* two possibilities for an electrophile to attack the three membered ring at the carbon atom C(11): either from below (the *exo*-side), which formally corresponds to retention of configuration or from above (the *endo*-side), which formally corresponds to inversion of configuration.

Thus the homoenol methyl ether **21** was treated with 0.15 N DCl in CH₃OD as well as with 1.3 N DCl in CH₃OD/D₂O 4:1 at room temperature. Attack by the

Scheme 3



Scheme 4



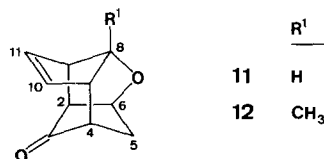
electrophile at the three membered ring occurred regioselectively at C(11), however without any stereoselectivity. An approximately 1:1 mixture of the two monodeuterated ethers **12-exo-d₁**⁵⁾ and **12-endo-d₁**⁵⁾ was formed (Scheme 3). This represents one of the very few cases, where homoketonization under acidic conditions occurs not predominantly (or even exclusively) under retention⁶⁾. It has to be mentioned that the olefin alcohol **2** remained unchanged under the same reaction conditions. Therefore ether ring opening and subsequent recyclization can be ruled out as a possible pathway for the homoketonization of **21** to **12**.

In order to study the stereochemical course of the homoketonization under basic conditions we treated both **1** and **2** with base in deuteriated media at room

⁵⁾ Deuterium incorporation (position, configuration and amount) was determined by ¹H-NMR.

⁶⁾ Only a few examples are known, where ring opening occurs under inversion, see e.g. [4k] and [5].

Scheme 5

Table 2. Deuterium incorporation in **11** and **12** by treatment with *t*-BuOK/*t*-BuOD

Run	Starting material ^{a)}	<i>t</i> -BuOK/ <i>t</i> -BuOD molality	Temp. °C	Time h	Yield	% Deuterium incorporation ⁵⁾				
						D-C(2)	<i>exo</i> - D-C(5)	<i>endo</i> - D-C(5)	D-C(10)	D-C(11)
1	11	0.9	100	15	95%	-	-	-	-	-
2	11	1.1	150	15	95%	90	30	-	80	80
3	11	1.0	150	24	quant.	95	45	-	85	85
4 ⁹⁾	11	1.0	150	72	90%	85	75	10	85	85
5 ⁹⁾	11	1.1	180	26	80%	95	80	20	85	85
6	12	1.0	25	24	quant.	-	-	-	-	-
7	12	1.0	120	24	quant.	-	-	-	15	15
8	12	1.0	150	24	95%	95	30	-	80	80
9	12	1.0	180	24	95%	90	65	-	85	85

a) 0.1 mmol/0.5 ml *t*-BuOK/*t*-BuOD.

temperature (2N aq. (D₂O) NaOD/CH₃OD 1:1: **1** and **2**; 1 molal *t*-BuOK/*t*-BuOD: **1** and **2**; 1N NaOCH₃/CH₃OD: **2**). In all experiments one deuterium atom was incorporated, regioselectively at C(5) and stereoselectively from the *exo*-side⁵⁾ (retention) to yield **11-*exo*-d₁** and **12-*exo*-d₁**, respectively (Scheme 4). The configuration of the deuterium atom is the same as in **16-*exo*-d₁**, the product resulting from base treatment (2N aq. (D₂O) NaOD/CH₃OD 1:1) of **6** by way of *trans*-antiplanar addition to the C, C double bond.

For further proof of the homoenolate **b** being an intermediate in the base-catalyzed ether formation from the olefin alcohols **1-4** to the corresponding ethers **11-14**, we also investigated the homoenolization of the ethers **11** and **12** (Scheme 5) by treatment with *t*-BuOK in *t*-BuOD. The results are listed in Table 2. The following conclusions can be deduced thereof:

a) analogous behaviour is observed whether the starting material is unmethylated at C(8) (**11**) or methylated (**12**);

b) at temperatures below 100° no deuterium is incorporated (runs 1 and 6);

c) at temperatures around 150° for 15-24 h (runs 2, 3, 8 and 9) deuterium incorporation at several positions⁷⁾ is observed. Incorporation at C(5) results from the homoenolate **b** being an intermediate. The fact that on the one hand deuterium is incorporated neither at C(4) nor at C(6) and on the other hand at C(5) exclusively

⁷⁾ Two main factors seem to be responsible for the ease of deuterium incorporation at the olefinic sites C(10) and C(11) (runs 2-5 and 7-9): on the one hand the enhanced acidity of a hydrogen atom at a *sp*²- versus a *sp*³-carbon atom, on the other hand an activating effect by the near keto group. For comparative studies, see [6].

from the *exo*-side proofs that both processes, homoenolization and homoketonization, proceed fully regio- as well as stereoselectively⁸⁾);

d) deuterium incorporation at C(2), at only one of the two bridgehead carbon atoms C(2) and C(4) adjacent to the keto group (see runs 2–5, 8 and 9) implies that no symmetrical species is involved in the reaction sequence and by consequence a ring-open alkoxide ion a (*Scheme 2*) can clearly be ruled out as an intermediate.

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⁸⁾ The same regio- and stereoselectivity results in the base treatment of **1** (\rightarrow 11-*exo*-d₁) and **2** (\rightarrow 12-*exo*-d₁) (*Scheme 4*).

⁹⁾ The loss of stereoselectivity at C(5) observed in runs 4 and 5 is due to prolonged reaction time and/or higher temperature. Such an effect was already described on other systems by *Nickon et al.* [7].